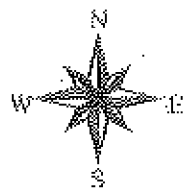
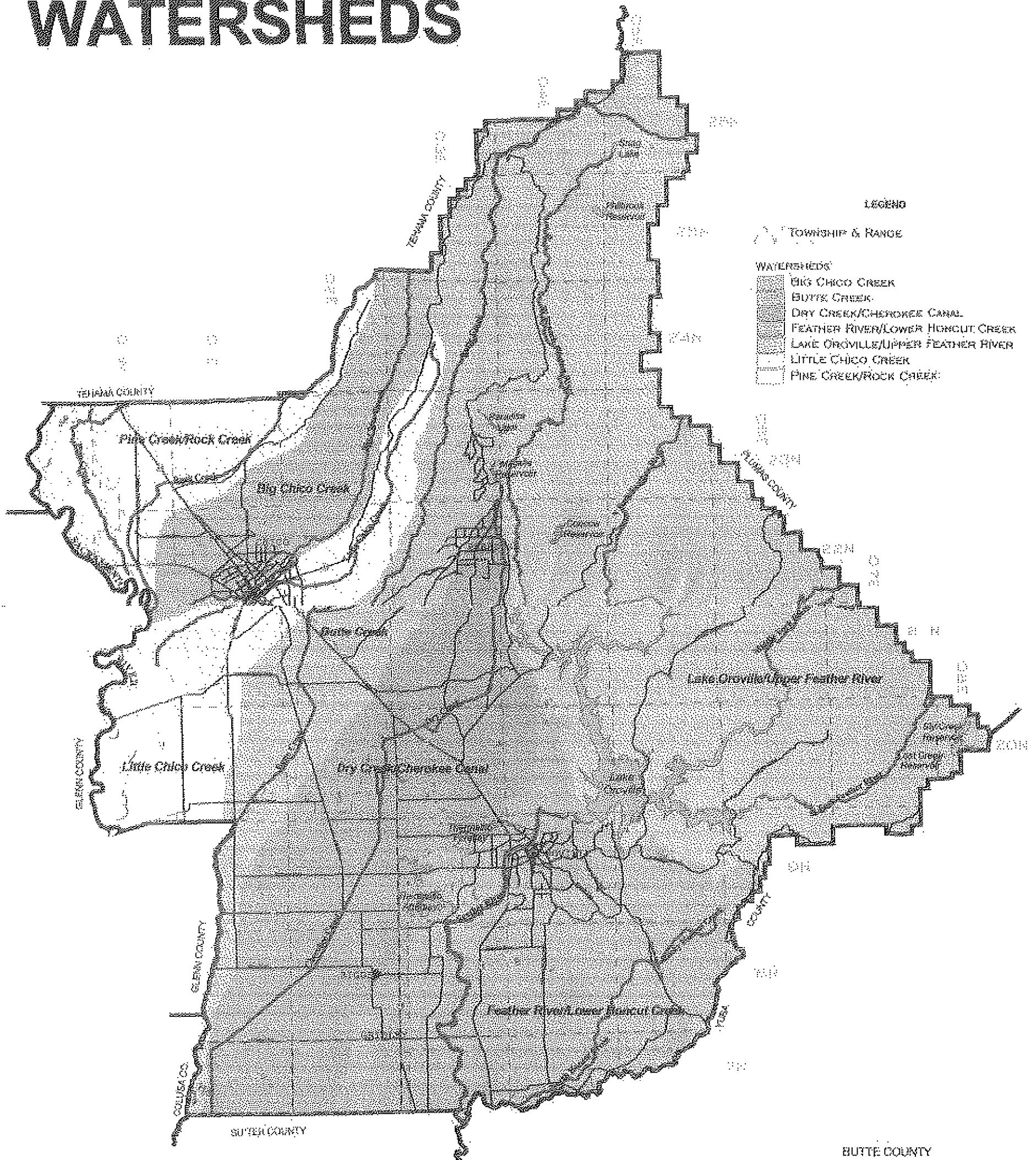


## **ATTACHMENT 1**

# BUTTE COUNTY WATERSHEDS



4 0 4 8 Miles

BUTTE COUNTY  
DEPARTMENT OF DEVELOPMENT SERVICES  
C. S. SHERMAN  
DATE PREPARED: APRIL 14, 1995



## **ATTACHMENT 2**

**CLASS CODE**

Date Est:

Date Rev:

FLSA: Exempt

**BUTTE COUNTY  
DIRECTOR – DEPARTMENT OF WATER  
AND RESOURCE CONSERVATION**

**DEFINITION:**

To plan, organize, coordinate, and administer the Butte County Department of Water and Resource Conservation; to formulate and implement department goals and objectives; and to perform related duties as required.

**EXAMPLES OF DUTIES** (duties include but are not limited to the following):

Implements the County's water conservation ordinances.

Coordinates and facilitates the efforts of County departments involved in water activities to manage the diverse and complex range of water issues facing the County.

Represents the County Department of Water and Resource Conservation in meetings with state, federal and/or local agencies, and other public or private organizations.

Researches and presents information to the Butte County Water Commission enabling it to provide reliable recommendations to the Board of Supervisors regarding alternative means of funding for water-related issues.

Defines problems relating to water issues for presentation to the Water Commission by maintaining a current understanding of the concerns of the water industry and water users in Butte County.

Develops, plans, organizes, coordinates and administers Department of Water and Resource Conservation policies and procedures in order to comply with County, state and federal standards.

Analyzes and makes recommendations to the Board of Supervisors regarding proposed water development projects.

Reviews and analyzes new or proposed legislation to evaluate impacts and implements revisions to County programs as necessary; and recommends policy and operational changes to be in compliance with legislative changes.

Prepares and administers departmental budget.

Develops and directs the implementation of goals, objectives, policies, procedures and work standards for the department.

Administers and oversees contracts and projects for the control, conservation, diversion, transmission and sale of water.

Provides technical and administrative staff support to Board of Supervisors.

Develops and administers projects to control flood and storm waters, and to conserve and reclaim water for present and future use.

Provides professional input into the development and maintenance of ground water well monitoring programs.

*Examples of duties, continued:*

Evaluates needs and administers programs to prevent the contamination of surface or groundwater, and conducts technical, economic and environmental studies pertaining to the use of water within the County.

Administers program permit applications.

Develops and administers program transfer application process as required by county ordinance.

Develops and administers appropriate fee ordinance; seeks grants and other funding for program activities; prepares grant and loan applications and administers grant and loan programs.

Receives and maintains data from both public and private water agencies.

Identifies the need for technical expertise, recommends contract assistance and negotiates and administers such contracts

Performs related duties as assigned.

**EMPLOYMENT STANDARDS**

*Any combination of education and experience that would provide the required knowledge and abilities is qualifying. A typical way to obtain the knowledge and abilities would be:*

**Education:** Equivalent to a Baccalaureate Degree from an accredited college or university in public administration, business administration, physical science, biological science, or environmental science; a Masters level degree in public administration, business administration, physical science, biological science, or environmental science, or related fields, may be substituted for two years of experience requirement.

**Experience:** Four (4) years of administrative or equivalent experience in a public agency concerned with the management of water resources; additional years of experience may be substituted for the required education on a year for year basis.

**Knowledge of:**

- Applicable legal guidelines and legislation affecting the use, sale, development, conservation, reclamation and transmission of water.
- Principles of hydrology, physics, soils, water management, crop management, environmental science, biology and physical engineering.
- Functions and operations of related agencies and community organizations.
- Related County ordinances, state laws and regulations.
- Methods and techniques of employee training, supervision and evaluation; principles and techniques of organization and management; computer operations and data processing.
- Political and environmental issues influencing water agency administration.
- Principles, practices, and program areas related to the management of a water resource department.
- Applicable legal guidelines and legislation affecting the use, sale, development, conservation, reclamation and transmission of water.
- Related County ordinances, state laws and regulations.
- Functions and operations of related agencies and community organizations.
- Funding sources impacting program and service development.
- CalFed and Proposition 204.
- Administrative principles and methods, including goal setting.

*Employment Standards, continued:*

Knowledge of:

- Program development, implementation, and administration, including principles and practices of budget development and administration.
- Principles of hydrology, physics, soils, water management, crop management, environmental science, biology and physical engineering.
- Methods and techniques of employee training, supervision and evaluation; principles and techniques of organization and management; computer operations and data processing.

Ability to:

- Plan, organize, supervise, train, direct, select, and evaluate the work of staff.
- Analyze situations accurately and take appropriate action.
- Plan, organize, and carry out research in cooperation with other agencies, organizations, and individuals.
- Analyze and evaluate data; develop plans and policies based on findings.
- Apply knowledge of a specialized and complex nature.
- Write clear and concise correspondence, records, and reports; communicate effectively both verbally and in writing; speak clearly and effectively.
- Plan, organize, administer, and coordinate a variety of water resource programs.
- Develop and implement goals, objectives, policies, procedures, work standards, and internal controls.
- Analyze complex technical and administrative problems, evaluate alternative solutions and adopt effective courses of action.
- Establish and maintain cooperative working relationships with the Board of Supervisors, Chief Administrative Officer, a variety of citizens, public and private organizations, and all others contacted in the course of work.

Special Requirements: Possession of, or ability to obtain, an appropriate valid California driver's license.

**ENVIRONMENTAL AND FUNCTIONAL FACTORS**

Incumbent will perform work outside of buildings; work in the heat or in the cold; work independently or closely with others; may require light lifting.

**THIS JOB SPECIFICATION SHOULD NOT BE CONSTRUED TO IMPLY THAT THESE REQUIREMENTS ARE THE EXCLUSIVE STANDARDS OF THE POSITION. NOT ALL DUTIES ARE NECESSARILY PERFORMED BY EACH INCUMBENT.**

**BUTTE COUNTY IS AN EQUAL OPPORTUNITY EMPLOYER. IN COMPLIANCE WITH THE AMERICANS WITH DISABILITIES ACT, BUTTE COUNTY WILL PROVIDE REASONABLE ACCOMMODATION TO QUALIFIED INDIVIDUALS. BUTTE COUNTY ENCOURAGES BOTH INCUMBENTS AND INDIVIDUALS WHO HAVE BEEN OFFERED EMPLOYMENT TO DISCUSS POTENTIAL ACCOMMODATIONS WITH THE EMPLOYER.**

CLASS CODE 3523  
DATE EST.: 04-13-91  
DATE REV.: 11-24-97  
FLSA: Exempt

## COUNTY OF BUTTE

### SENIOR PLANNER

#### **DEFINITION**

To conduct planning studies, develop reports and plans; develop grant proposals, applications and administer grants; interpret and enforce zoning regulations and recommended revisions; research and plan for environmental assessment projects; coordinates and oversees the work of others; and performs related duties as assigned.

#### **DISTINGUISHING CHARACTERISTICS**

This is the specialist/lead level in the Planner series. It differs from the Associate Planner in that it has lead responsibilities for a major planning area and/or for one or more special projects and will perform the more complex work.

#### **REPRESENTATIVE DUTIES**

*Duties may include, but are not necessarily limited to:*

- Coordinates the flow and gathering of information and data relative to planning reports and environmental impact reports;
- Reviews agenda items prepared by others and researches, field inspects and writes planning reports for review by the Principal Planner indicating findings and recommendations;
- Coordinates legal advertising required for public hearings, interprets state, federal, and local laws, rules and regulations;
- Advises the general public on complicated planning, environmental impact and local zoning matters;
- Reviews and approves construction drawings for compliance with zoning standards and Commission recommendations prior to issuance of a building permit;
- Receives documents, prepares for hearing before various boards and commissions;
- Drafts changes in zoning and subdivision ordinances and elements of the general plan;
- Coordinates and leads the work of professional planning and support staff and personally performs major research, environmental impact and land use studies;
- Reviews and prepares reports on proposals for annexation, detachment, formation, dissolution, consolidation or reorganization of special districts;
- Conducts reviews and studies to regulate the services of special districts;
- May administer contracts for outside consulting firms for major projects;
- May review violations of zoning ordinances and contact violators and legal counsel;
- May be responsible for state mandated programs, such as Airport Land Use Planning, Administration of Mining and Reclamation Plans, and Hazardous Materials regulations;
- Performs related duties as assigned. (Reasonable accommodations will be made when requested and determined by the County to be appropriate under applicable law.)

## **EMPLOYMENT STANDARDS**

*Any combination of education and experience that would provide the required knowledge and abilities is qualifying. A typical way to obtain the knowledge and abilities would be:*

**Education:** A bachelor's degree in planning, engineering, architecture or a closely related field from an accredited college or university. Possession of a Master's Degree in planning or a closely related field from an accredited college or university is preferred.

**Experience:** Three years of experience performing the duties of an Associate Planner in the County of Butte; OR four years of professional planning experience. (One year of the required experience may be substituted with possession of a Master's Degree in planning or a closely related field.)

### **Knowledge of:**

- Theory, principles, practices, and current trends in federal, state and local planning and environmental review;
- Federal, state, and local laws and regulations pertaining to planning;
- Socioeconomic aspects of planning in local government;
- State and federal grant programs available to provide assistance to planning projects;
- Principles and practices of supervision;
- Principles and methods of conducting research studies.

### **Ability to:**

- Coordinate the work of professional planners and/or consultants;
- Utilize computer technology to plan, organize, supervise, and conduct major research studies and the compilation, analysis and presentation of technical and statistical information and data in reports;
- Update zoning regulations and maps;
- Interpret, explain, and apply pertinent laws and regulations;
- Communicate effectively in writing and interpret legal descriptions;
- Speak effectively and represent the department at meetings of professional and general public groups;
- Analyze and interpret proposals and develop alternatives;
- Gain the cooperation of individuals and agencies;
- Deal with sensitive issues and work cooperatively with those contacted in the course of work.

### **Special Requirements:**

- May be required to possess or obtain by appointment date a valid operator's license issued by the State Department of Motor Vehicles.

## **ENVIRONMENTAL AND FUNCTIONAL FACTORS**

Incumbents will perform work inside of buildings, work closely with others; may require light lifting.

**THIS JOB SPECIFICATION SHOULD NOT BE CONSTRUED TO IMPLY THAT THESE REQUIREMENTS ARE THE EXCLUSIVE STANDARDS OF THE POSITION. NOT ALL DUTIES ARE NECESSARILY PERFORMED BY EACH INCUMBENT. ADDITIONALLY, INCUMBENTS MAY BE REQUIRED TO FOLLOW INSTRUCTIONS AND TO PERFORM OTHER JOB RELATED DUTIES AS MAY BE REQUIRED.**



## OFFICE ASSISTANT III

## DEFINITION

To plan, organize, prepare, and perform a variety of complex and varied clerical duties; and to perform related duties as assigned.

DISTINGUISHING CHARACTERISTICS

The class of Office Assistant III is assigned and performs independently clerical duties of a complex and responsible nature. Incumbents in this class must have a wide knowledge of the current operations, procedures, rules, and precedents of the department and be able to refer to the proper authority or source to obtain desired information. Incumbents must be able to apply this information to their assigned tasks and interpret this information to the public and county employees utilizing initiative and judgment. Wide latitude is permitted in the performance of assignments, with employees frequently being assigned sole responsibility for the style, format, final appearance, and accuracy of the complete assignments. Positions in this class may also be required to lead clerical subordinates, such supervision usually being limited to the assignment and review of work.

EXAMPLES OF DUTIES

Performs a wide variety of difficult and complex clerical duties including communicating with the public in person and over the telephone, furnishing desired information, referring them to proper source, or arranging an appointment with department head or staff members; analyzes, researches, extracts, and summarizes information; types a variety of material including confidential correspondence, reports, legal forms, briefs, contracts, specifications, bulletins, memoranda, and departmental records; types and assembles materials for case files or folders; independently prepares correspondence which can be composed from available resources or involves no policy questions; may transcribe dictation from dictating machine; input data into computer; reviews reports, records, and other data for accuracy, completeness, and compliance with established standards; maintains a variety of departmental, financial, and statistical records including tracking and recording costs; may prepare the departmental payroll; may maintain stock of office supplies and reorders as needed; issues and/or processes applications; may index and file resolutions, ordinances, and marriage licenses; may record, index, and photograph legal documents; may prepare, process, and maintain files of claims for payments; may be responsible for checking invoices, codes, posts, and maintains permanent budget account status; may record and maintain vacation, sick leave, and overtime earned by employees; may assist in compiling, computing, and preparing departmental budgets; may assist community and public groups and organizations with meetings, notifications, and newsletters; may supervise one or more general clerical subordinates limited to the assignment and review of work.

EMPLOYMENT STANDARDS

Education/Experience: Any combination of education and experience that would provide the required knowledge and abilities is qualifying. A typical way to obtain the knowledge and abilities would be:

Experience: One year of experience in the County of Butte performing the duties of Office Assistant II or equivalent class; OR two years of increasingly responsible clerical experience.

Knowledge of: Modern office methods and practices; business English, including vocabulary, correct grammatical usage and punctuation; modern filing methods; common office machines and their operation; applicable regulations, terminology and departmental procedures; alphabetical and numerical sequencing.

Ability to: Perform difficult and responsible clerical work with speed and accuracy and minimal supervision; make decisions regarding procedural matters without immediate supervision; make mathematical calculations with speed and accuracy; prepare and maintain accurate and complete records and reports and devise improvements as necessary; perform receptionist work; type accurately from clear copy at a rate of 45 words per minute if required; understand and carry out oral and written directions; deal tactfully and courteously with the public, county employees, and other governmental officials; work cooperatively with those contacted in the course of work.

**COUNTY OF BUTTE  
OFFICE ASSISTANT III**

**PAGE 2**

Special Requirements: Possession of valid certificate as proof of required typing skill at 45 wpm if required. Applicant must attach proof of certification to application at time of submission. May be required to possess or obtain by appointment date a valid operator's license issued by the State Department of Motor Vehicles.

THIS JOB SPECIFICATION SHOULD NOT BE CONSTRUED TO IMPLY THAT THESE REQUIREMENTS ARE THE EXCLUSIVE STANDARDS OF THE POSITION. NOT ALL DUTIES ARE NECESSARILY PERFORMED BY EACH INCUMBENT. ADDITIONALLY, INCUMBENTS MAY BE REQUIRED TO FOLLOW INSTRUCTIONS AND TO PERFORM OTHER JOB RELATED DUTIES AS MAY BE REQUIRED.

## **ATTACHMENT 3**



# BOARD OF SUPERVISORS

ADMINISTRATION CENTER  
25 COUNTY CENTER DRIVE - OROVILLE, CALIFORNIA 95965

TELEPHONE: (530) 538-7224

JANE DOLAN, Chair  
Second District

R. J. BEELER  
First District

MARY ANNE HOUX  
Third District

CURT JOSIASSEN  
Fourth District

FRED C. DAVIS  
Fifth District

April 14, 1999

CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

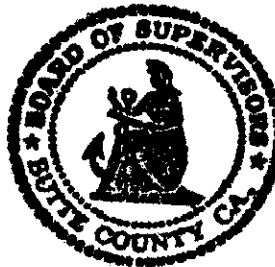
RE: Grant Proposal Approval

Dear Sirs:

This letter will serve to inform you that on April 13, 1999, by Minute Order No. 99-97, the Butte County Board of Supervisors unanimously voted to approve submittal of a grant application for Butte County Water and Natural Resource Coordination to the 1999 CALFED Ecosystem Restoration Projects and Programs Proposal Solicitation Package.

Sincerely,

Marion Reeves  
Assistant Clerk of the Board of Supervisors



/mr

## **ATTACHMENT 4**



# **BUTTE CREEK**

## **WATERSHED CONSERVANCY**

P.O. Box 1611, Chico CA 95927-1611

April 14, 1999

CALFED Bay-Delta Program Office  
1416 Ninth Street, Suite 1155  
Sacramento, California 95814

**Subject: Support for 1999 CALFED Bay-Delta ERPP Proposal**

Dear Review Panel:

Following diligent review of the grant proposal to be submitted by the County of Butte under the 1999 CALFED ERPP Proposal Solicitation Package, the Butte Creek Watershed Conservancy would like to convey its enthusiastic support for the following proposal: *Butte County Water and Resource Coordination*. Representatives of Butte County have been working closely with the Butte Creek Watershed Conservancy and myriad other stakeholder groups to develop the attached proposal. There is a definite need to have Butte County proactively involved in the growing watershed planning and implementation process. This project will go a long way towards meeting the long-term objectives of the CALFED Bay-Delta Program to restore ecological health and improve water management for beneficial uses of the Bay-Delta system. If you have any questions regarding the Conservancy's support for this proposal, please feel free to call the office at 530-893-5399.

For the Conservancy,



James Charles (Chuck) Kutz  
Chairman, Board of Directors, Butte Creek Watershed Conservancy

cc: Butte County Water Division (Vicki Newlin)  
CSU, Chico BCWP (Dr. Donald Holtgrieve)  
BCWC Files



April 15, 1999

CalFed Bay Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

Dear Bay Delta Program:

This is being written in support of the CalFed grant to assist Butte County in their desire to help with the planning and coordination of watershed activities within the County and with the private groups and the State and Federal agencies. Butte County is rich with natural resources including flora fauna and fish, and the County has been a very strong voice in insuring they are managed in a responsible – coordinated way involving all the stakeholders. The local government wants to be involved in the process and this has been the direction that environmental stewardship has taken and that is one of local control and local decision making. Butte County has been a model for other counties to follow. We have environmental restoration occurring on Butte Creek, Big Chico Creek, the Sacramento River, the Feather River, and other smaller tributaries.

Given these times of scarce financial resources at the local level, Butte County is requesting financial assistance in hiring a County Water and Resource Coordinator. This will promote CalFed's objectives and will place Butte County as a model of what other Counties can do to enhance and protect their natural resources for all the present and future citizens of this State.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Les Heringer'.

Les Heringer  
Manager



FARM DOLLARS AT WORK

# **BUTTE BASIN WATER USERS ASSOCIATION**

---

POST OFFICE BOX 309  
DURHAM, CALIFORNIA 95938  
(530) 899-1910  
FAX (530) 891-3690

April 15, 1999

CALFED-Bay Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, California 95814

Subject: Butte County Water and Resource Coordinator Grant Application

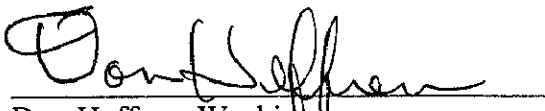
Dear Review Panel:

On April 14, 1999, the Butte Basin Water Users Association Working Committee voted unanimously to support Butte County's grant application for a County Water and Resource Coordinator staff position to encourage communication and cooperation between watershed activities, projects, various County departments and State & Federal agencies. We would encourage CALFED to approve this grant application so that the various watershed activities and projects in Butte County can be completed in a coordinated and cost effective manner.

Thank you for the opportunity to support this important project.

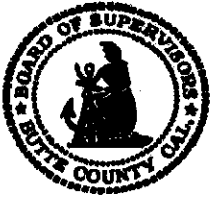
Sincerely,

**Butte Basin Water Users Association**



Don Heffren, Working  
Committee Chairman





# BOARD OF SUPERVISORS

ADMINISTRATION CENTER  
25 COUNTY CENTER DRIVE - OROVILLE, CALIFORNIA 95965

TELEPHONE: (530) 538-7224

JANE DOLAN, Chair  
Second District

R. J. BEELER  
First District

MARY ANNE HOUX  
Third District

CURT JOSIASSEN  
Fourth District

FRED C. DAVIS  
Fifth District

April 15, 1999

CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

**Subject: Butte County Water and Resource Coordination**

Dear Review Panel:

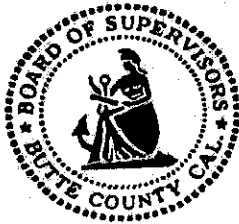
On April 13, 1999, the Butte County Board of Supervisors voted in support of the Butte County Water and Natural Resource Coordination Project currently being reviewed for funding by your program. I am writing this letter to further emphasize that the Board is not only in support but feels that funding for this project is critical.

The CALFED Bay-Delta Program proposes to restore and protect the watersheds and ecosystems of the solution area through the Ecosystem Restoration Program funding. Butte County is located in the heart of the CALFED Bay-Delta solution area and as a result several projects have been funded within our county. The County has involved itself in watershed planning activities, but our involvement in this arena has occurred in a piecemeal and reactionary basis because of our limited staff resources. The County would like to participate at an elevated level but we need the financial resources to do so. Funding for the Water and Resource Coordination project will allow the County to participate in a more meaningful manner in these land use discussions that eventually will come before our Board for implementation. The Water and Resource Coordinator and half-time clerical staff requested in the proposal will enable the County departments, conservancy groups and agencies to work together to protect and enhance the valuable water related resource within our County.

The Butte County Board of Supervisors strongly urges you to carefully review our grant proposal and appropriate the requested funding so that Butte County can more fully engage in watershed restoration and enhancement activities.

Sincerely,

  
Jane Dolan, Chair  
Butte County Board of Supervisors



# MARY ANNE HOUX

SUPERVISOR, THIRD DISTRICT

196 MEMORIAL WAY • CHICO, CALIFORNIA 95926

TELEPHONE: (530) 891-2800

FAX: (530) 891-2877

April 15, 1999

**CALFED Bay-Delta Program**  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

**Subject: Butte County Water and Resource Coordination**

Dear Review Panel:

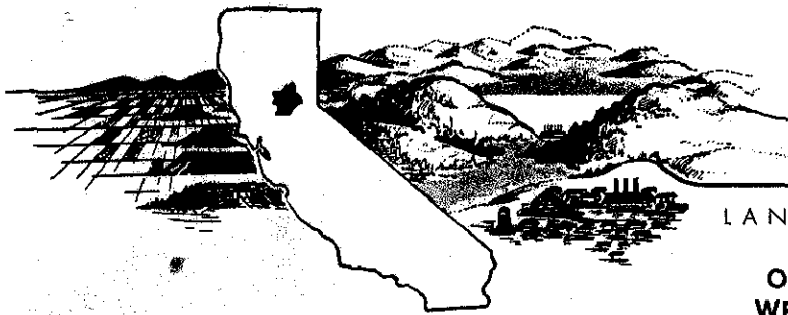
On April 13, 1999, the Butte County Board of Supervisors voted in support of the Butte County Water and Natural Resource Coordination Project currently being reviewed for funding by your program. I am writing this letter to further emphasize that the Board is not only in support but feels that funding for this project is critical.

The CALFED Bay-Delta Program proposes to restore and protect the watersheds and ecosystems of the solution area through the Ecosystem Restoration Program funding. Butte County is located in the heart of the CALFED Bay-Delta solution area and as a result several projects have been funded within our county. The County has involved itself in watershed planning activities, but our involvement in this arena has occurred in a piecemeal and reactionary basis because of our limited staff resources. The County would like to participate at an elevated level but we need the financial resources to do so. Funding for the Water and Resource Coordination project will allow the County to participate in a more meaningful manner in these land use discussions that eventually will come before our Board for implementation. The Water and Resource Coordinator and half-time clerical staff requested in the proposal will enable the County departments, conservancy groups and agencies to work together to protect and enhance the valuable water related resource within our County.

Please carefully review Butte County's grant proposal and appropriate the requested funding so that we can more fully engage in watershed restoration and enhancement activities.

Sincerely,

Mary Anne Houx, Supervisor  
Butte County Board of Supervisors



# *Butte County*

LAND OF NATURAL WEALTH AND BEAUTY

**OFFICES OF AGRICULTURAL COMMISSIONER  
WEIGHTS AND MEASURES • WATER DIVISION**

316 NELSON AVENUE • OROVILLE, CALIFORNIA 95965-3318  
AGRICULTURAL DEPT.: (530) 538-7381 • WATER: (530) 538-6265  
FAX: (530) 538-7594

**RICHARD B. PRICE**  
Agricultural Commissioner  
Director of Weights and Measures/  
Water Division

**LOUIE B. MENDOZA, JR.**  
Assistant Agricultural Commissioner/  
Weights and Measures/Water Division

April 15, 1999

CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

**Subject: Butte County Water and Resource Coordination**

Dear Review Panel:

On April 13, 1999, the Butte County Board of Supervisors voted in support of the Butte County Water and Natural Resource Coordination Project currently being reviewed for funding by your program. I am writing this letter to further emphasize that the Water Commission is not only in support but feels that funding for this project is crucial.

The CALFED Bay-Delta Program proposes to restore and protect the watersheds and ecosystems of the solution area through the Ecosystem Restoration Program funding. Butte County is located in the heart of the CALFED Bay-Delta solution area and as a result several projects have been funded within our county. The County has involved itself in watershed planning activities, but our involvement in this arena has occurred in a piecemeal and reactionary basis because of our limited staff resources. The County would like to participate at an elevated level but we need the financial resources to do so. Funding for the Water and Resource Coordination project will allow the County to participate in a more meaningful manner in these land use discussions that eventually will come before our Board for implementation. The Water and Resource Coordinator and half-time clerical staff requested in the proposal will enable the County departments, conservancy groups and agencies to work together to protect and enhance the valuable water related resource within our County.

The Butte County Water Commission strongly urges you to carefully review our grant proposal and appropriate the requested funding so that Butte County can more fully engage in watershed restoration and enhancement activities.

Sincerely,

Peter D. Peterson, Chair  
Butte County Water Commission

# CHIEF ADMINISTRATIVE OFFICE

## COUNTY OF BUTTE

25 COUNTY CENTER DRIVE  
OROVILLE, CALIFORNIA 95965-3380  
Telephone: (530)-538-7631 Fax: (530)-538-7120

### MEMBERS OF THE BOARD

R. J. BEELER  
JANE DOLAN  
MARY ANNE HOUX  
CURT JOSIASSEN  
FRED C. DAVIS



JOHN S. BLACKLOCK  
CHIEF ADMINISTRATIVE OFFICER

April 15, 1999

CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

**Subject: Grant Application  
Butte County Water and Resource Coordination Project**

Dear Review Panel:

At its meeting of April 13, 1999, the Butte County Board of Supervisors voted unanimously to support the Butte County Water and Natural Resource Coordination Project grant application currently being reviewed by your panel. This project recognizes the critical need for planning and coordination of watershed activities throughout the County and with various State and Federal agencies.

The CALFED Bay-Delta Program proposes to restore and protect the watersheds and ecosystems of the solution area through Ecosystem Restoration Program funding. Butte County is located in the heart of the CALFED Bay-Delta solution area and, as a result, several projects have been funded within our county. Butte County has been involved in local watershed planning activities, however, our involvement has been minimal due to staffing restrictions and budgetary constraints. The County recognizes the critical need for local planning and coordination of watershed activities and would like to participate at an elevated level. Funding for the Water and Resource Coordination project will allow Butte County to take a leadership role in these activities.

The Water and Resource Coordinator and half-time clerical positions requested in our proposal will enable County departments, conservancy groups and other agencies to work together to protect and enhance the valuable water related resource within our County.

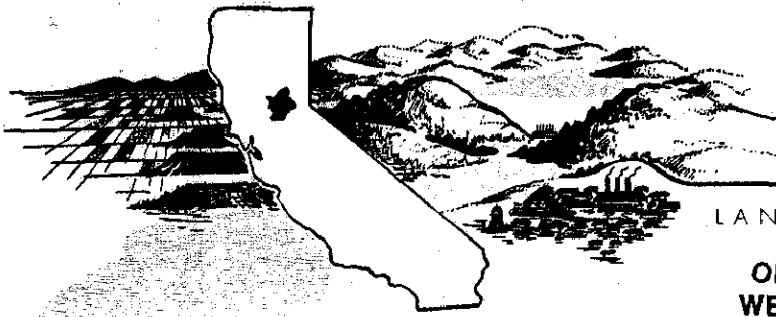
On behalf of the Butte County Board of Supervisors, I strongly encourage you to carefully review our grant proposal and appropriate the requested funding. This funding will allow Butte County to assume a leadership role in local watershed restoration and enhancement activities. Thank you for your consideration.

Sincerely,

A handwritten signature in black ink, appearing to read "John S. Blacklock", is written over a horizontal line.

John S. Blacklock  
Chief Administrative Officer

CC: Board of Supervisors



# *Butte County*

LAND OF NATURAL WEALTH AND BEAUTY

**OFFICES OF AGRICULTURAL COMMISSIONER  
WEIGHTS AND MEASURES • WATER DIVISION**

316 NELSON AVENUE • OROVILLE, CALIFORNIA 95965-3318  
AGRICULTURAL DEPT.: (530) 538-7381 • WATER: (530) 538-6265  
FAX: (530) 538-7594

**RICHARD B. PRICE**  
Agricultural Commissioner  
Director of Weights and Measures/  
Water Division

**LOUIE B. MENDOZA, JR.**  
Assistant Agricultural Commissioner/  
Weights and Measures/Water Division

Date: April 14, 1999

To: CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

From: Richard Price, *RP*  
Agricultural Commissioner

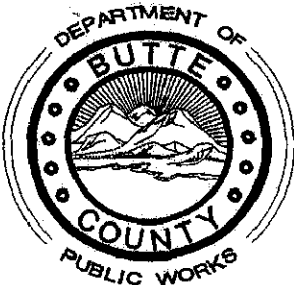
Subject: Letter of Support: Butte County Water and Natural Resource  
Coordination Project (141.19)

Please accept my letter of strong support regarding the Butte County Water and Natural Resource Coordination Project.

This proposal establishes a Watershed Coordinator and a half-time clerical position that would be a valuable resource for Butte County. These positions would enable the many conservancy groups, agencies, and county departments to work closer together to address the many future water issues that face Butte County.

Butte County entities are working with CALFED on a number of issues, including ecosystem restoration projects that overlap many jurisdictions. The County faces water supply and conveyance system issues. There are the questions of future growth and the demands on the County's water entitlement. There are other habitat and drainage issues the county is considering, including an inventory of all current county water uses and needs. The County General Plan Natural Resource element needs to be up-dated in coordination with all the water interest groups in the county.

As a County of Origin, with many water districts, water conservancies, cities and private water interests, Butte County has unique water issues that impact the whole state. The use of a Watershed Coordinator position would be utilized to begin addressing those issues.



# Department of Public Works

## C o u n t y o f B u t t e

J. Michael Crump, *Director*

Warner C. Phillips, *Assistant Director*

7 County Center Drive  
Oroville, CA 95965-3397  
(530) 538-7681  
(FAX) 538-7683

April 14, 1999

CALFED Bay-Delta  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

**Re: Butte County Water and Natural Resource  
Coordination Project  
(141.19)**

The Public Works Department is in strong support of the Butte County Water and Natural Resource Coordination project. With the numerous watershed restoration and management efforts taking place within Butte County, the proposed County Water and Resource Coordinator position is essential to help encourage communication and cooperation between the watershed activities and the various County departments and State and Federal agencies.

Sincerely,

Mike Crump  
Director of Public Works

MC:ab

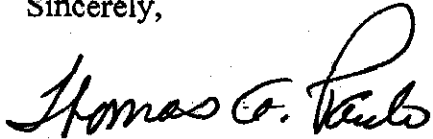
CALFED Bay-Delta Program

April 15, 1999

Page 2

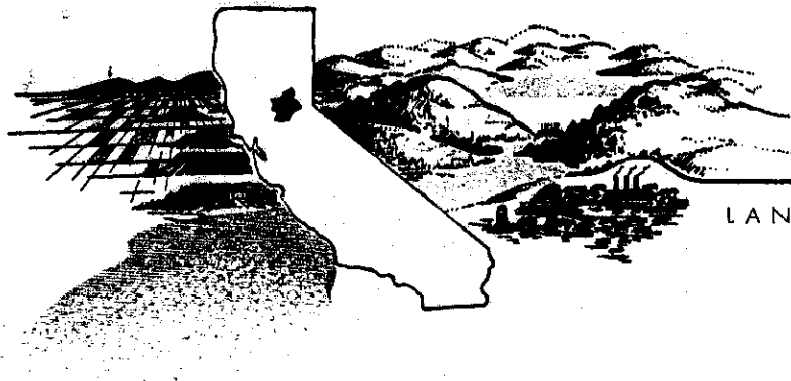
I strongly urge CALFED to carefully review our grant request and award funding for the necessary staff support so Butte County can more fully engage itself in watershed planning activities. As a County of Origin with significant water resources, I believe this grant is critical for the county to appropriately involve itself in watershed planning. Should you have any questions or need additional information, please contact me. Thank you for your consideration.

Sincerely,

A handwritten signature in cursive script, reading "Thomas A. Parilo".

Thomas A. Parilo  
Director of Development Services

TAP:jb



# *Butte County*

LAND OF NATURAL WEALTH AND BEAUTY

## **DIRECTOR'S OFFICE**

### **DEPARTMENT OF DEVELOPMENT SERVICES**

7 COUNTY CENTER DRIVE • OROVILLE, CALIFORNIA 95965-3397

TELEPHONE: (530) 538-7601

FAX: (530) 538-7785

[www.buttcounty.net](http://www.buttcounty.net)

April 15, 1999

CALFED Bay-Delta Program  
1416 Ninth Street, Suite 1155  
Sacramento, CA 95814

RE: CALFED Grant Proposal for Funding of  
County Watershed Coordination in  
Butte County

Ladies & Gentlemen:

Like many county departments in the Butte County government, the Planning Division has been drawn into watershed planning activities. Planning at the watershed level is not part of the State Planning and Zoning Law in California. As such, it has not been a stated and funded priority by the Butte County Board of Supervisors. Even with this structural recognition, our involvement in this arena is critical. Currently there are at least five organizations actively involved with watershed planning activities. They include Butte Creek Watershed Conservancy, Big Chico Creek Watershed Alliance, Little Chico Creek Watershed Alliance, Cherokee Creek Watershed Group, and the Sacramento River Conservation Area Advisory Council.

As the director of the County's land use planning program, I have involved myself and other staff members in watershed planning activities. Our involvement in this area has occurred on a piecemeal and reactionary basis and at the expense of other priorities. Our efforts are fragmented and have consequently resulted in both an inconsistent and uncoordinated commitment. When invited to comment on base line conditions reports and planning strategies, our comments are not always complete and inclusive of all factors. All of these factors have contributed to what may appear to be an unresponsive relationship with the grass roots watershed planning organizations.

As a county, we can do better, but we need the financial resources to do so. Currently, watershed related planning involves at least five different county departments (Agricultural Commissioner, Planning Division, Department of Public works, Emergency Services, and County Counsel) and considerable time expended by individual Board of Supervisor members. It is apparent that we need a fully dedicated position to coordinate our internal efforts as well as to be involved in the essential coordination with the many watershed planning organizations in Butte County.



## **ATTACHMENT 5**

# APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

|  |  |   |                              |
|--|--|---|------------------------------|
| <b>1. TYPE OF SUBMISSION:</b><br>Application<br><input type="checkbox"/> Construction<br><input checked="" type="checkbox"/> Non-Construction<br>Preapplication<br><input type="checkbox"/> Construction<br><input type="checkbox"/> Non-Construction  |  | <b>2. DATE SUBMITTED</b><br>April 16, 1999  | Applicant Identifier         |
|  |  | <b>3. DATE RECEIVED BY STATE</b>  | State Application Identifier |
|  |  | <b>4. DATE RECEIVED BY FEDERAL AGENCY</b>   | Federal Identifier           |
| <b>5. APPLICANT INFORMATION</b>  |  |   |                              |
| Legal Name:<br>County of Butte   |  | Organizational Unit:<br>Local Government  |                              |
| Address (give city, county, State, and zip code):<br>316 Nelson Ave.<br>Oroville, CA 95965   |  | Name and telephone number of person to be contacted on matters involving this application (give area code)<br>Vickie Newlin (530)538-6265   |                              |
| <b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b><br>94-60000506   |  | <b>7. TYPE OF APPLICANT: (enter appropriate letter in box)</b><br><div style="display: flex; justify-content: space-between;"> <div>           A. State<br/>           B. County<br/>           C. Municipal<br/>           D. Township<br/>           E. Interstate<br/>           F. Intermunicipal<br/>           G. Special District         </div> <div>           H. Independent School Dist.<br/>           I. State Controlled Institution of Higher Learning<br/>           J. Private University<br/>           K. Indian Tribe<br/>           L. Individual<br/>           M. Profit Organization<br/>           N. Other (Specify) _____         </div> </div> <div style="text-align: right; border: 1px solid black; padding: 2px;">B</div> |                              |
| <b>8. TYPE OF APPLICATION:</b><br><input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision<br>If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/><br>A. Increase Award    B. Decrease Award    C. Increase Duration<br>D. Decrease Duration    Other(specify): _____ |  | <b>9. NAME OF FEDERAL AGENCY:</b>   |                              |
| <b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b><br><div style="border: 1px solid black; width: 100px; height: 20px; margin: 5px auto;"></div> TITLE:   |  | <b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b><br>Butte County Water and Resource Coordination  |                              |
| <b>12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):</b>   |  | <b>13. PROPOSED PROJECT</b><br>Start Date: 10/99    Ending Date: 12/2001  |                              |
| <b>14. CONGRESSIONAL DISTRICTS OF:</b><br>Wally Herger California 2nd District   |  | <b>15. ESTIMATED FUNDING:</b>   |                              |
| a. Federal \$ .00<br>b. Applicant \$ .00<br>c. State \$ .00<br>d. Local \$ .00<br>e. Other \$ .00<br>f. Program Income \$ 277,107<br>g. TOTAL \$ 277,107   |  | <b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b><br>a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:<br>DATE _____<br>b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372<br><input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW   |                              |
| <b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b><br><input type="checkbox"/> Yes    If "Yes," attach an explanation. <input type="checkbox"/> No  |  | <b>18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.</b>  |                              |
| a. Type Name of Authorized Representative<br>County of Butte   |  | b. Title Butte County Board of Supervisors  |                              |
| c. Telephone Number<br>(530)342-1994   |  | d. Signature of Authorized Representative   |                              |
| e. Date Signed<br>4-14-99  |  | Previous Edition Usable<br>Authorized for Local Reproduction  |                              |

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**PART E: Certification Regarding Lobbying**  
**Certification for Contracts, Grants, Loans, and Cooperative Agreements**

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*CHECK IF CERTIFICATION IS FOR THE AWARD OF ANY OF THE FOLLOWING AND  
THE AMOUNT EXCEEDS \$100,000: A FEDERAL GRANT OR COOPERATIVE AGREEMENT;  
SUBCONTRACT, OR SUBGRANT UNDER THE GRANT OR COOPERATIVE AGREEMENT.*

*CHECK IF CERTIFICATION IS FOR THE AWARD OF A FEDERAL  
LOAN EXCEEDING THE AMOUNT OF \$150,000, OR A SUBGRANT OR  
SUBCONTRACT EXCEEDING \$100,000, UNDER THE LOAN.*

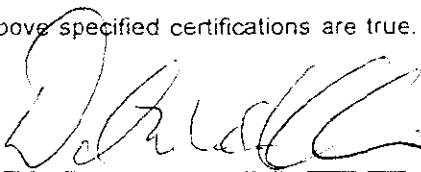
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

As the authorized certifying official, I hereby certify that the above specified certifications are true.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL



TYPED NAME AND TITLE Dave McClain, Chief Deputy County Counsel

DATE

4/14/99

**BUDGET INFORMATION - Non-Construction Programs****SECTION A - BUDGET SUMMARY**

| Grant Program<br>Function<br>or Activity<br>(a) | Catalog of Federal<br>Domestic Assistance<br>Number<br>(b) | Estimated Unobligated Funds |                    | New or Revised Budget |                    |               |
|---|--|-----------------------------|--------------------|-----------------------|--------------------|---------------|
|   |  | Federal<br>(c)              | Non-Federal<br>(d) | Federal<br>(e)        | Non-Federal<br>(f) | Total<br>(g)  |
| 1. Coordination/<br>Planning                    |  | \$                          | \$                 | \$                    | \$                 | \$ 277,107.00 |
| 2.  |  |                             |                    |                       |                    |               |
| 3.  |  |                             |                    |                       |                    |               |
| 4.  |  |                             |                    |                       |                    |               |
| 5. Totals                                       |  | \$                          | \$                 | \$                    | \$                 | \$ 277,107.00 |

**SECTION B - BUDGET CATEGORIES**

| 6. Object Class Categories             | GRANT PROGRAM, FUNCTION OR ACTIVITY |     |     |     | Total<br>(5)  |
|--|-------------------------------------|-----|-----|-----|---------------|
|  | (1) Total                           | (2) | (3) | (4) |               |
| a. Personnel                           | \$ 135,018.00                       | \$  | \$  | \$  | \$ 135,018.00 |
| b. Fringe Benefits                     | 45,904.00                           |     |     |     | 45,904.00     |
| c. Travel                              | 25,000.00                           |     |     |     | 25,000.00     |
| d. Equipment                           |                                     |     |     |     |               |
| e. Supplies                            | 16,908.40                           |     |     |     | 16,908.40     |
| f. Contractual                         |                                     |     |     |     |               |
| g. Construction                        |                                     |     |     |     |               |
| h. Other                               |                                     |     |     |     |               |
| i. Total Direct Charges (sum of 6a-6h) | 222,830.40                          |     |     |     | 222,830.40    |
| j. Indirect Charges                    | 54,276.60                           |     |     |     | 54,276.60     |
| k. TOTALS (sum of 6i and 6j)           | \$ 277,107.00                       | \$  | \$  | \$  | \$ 277,107.00 |
| 7. Program Income                      | \$                                  | \$  | \$  | \$  | \$            |

## INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

- | Item: | Entry:  | Item: | Entry:   |
|-------|---|-------|--|
| 1.    | Self-explanatory.   | 12.   | List only the largest political entities affected (e.g., State, counties, cities).   |
| 2.    | Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).   | 13.   | Self-explanatory.  |
| 3.    | State use only (if applicable).   | 14.   | List the applicant's Congressional District and any District(s) affected by the program or project.  |
| 4.    | If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank.   | 15.   | Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate <u>only</u> the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15. |
| 5.    | Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application.  | 16.   | Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.  |
| 6.    | Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.   | 17.   | This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.  |
| 7.    | Enter the appropriate letter in the space provided.   | 18.   | To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)  |
| 8.    | Check appropriate box and enter appropriate letter(s) in the space(s) provided:<br><br><div style="margin-left: 20px;"> -- "New" means a new assistance award.<br/><br/> -- "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date.<br/><br/> -- "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. </div> |       |  |
| 9.    | Name of Federal agency from which assistance is being requested with this application.  |       |  |
| 10.   | Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.   |       |  |
| 11.   | Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.   |       |  |

**SECTION C - NON-FEDERAL RESOURCES**

| (a) Grant Program               | (b) Applicant | (c) State | (d) Other Sources | (e) TOTALS |
|---------------------------------|---------------|-----------|-------------------|------------|
| 8.                              | \$            | \$        | \$                | \$         |
| 9.                              |               |           |                   |            |
| 10.                             |               |           |                   |            |
| 11.                             |               |           |                   |            |
| 12. TOTAL (sum of lines 8 - 11) | \$            | \$        | \$                | \$         |

**SECTION D - FORCASTED CASH NEEDS**

|                                    | Total for 1st Year | 1st Quarter  | 2nd Quarter  | 3rd Quarter  | 4th Quarter  |
|------------------------------------|--------------------|--------------|--------------|--------------|--------------|
| 13. Federal                        | \$ 138,553.50      | \$ 34,638.35 | \$ 34,638.40 | \$ 34,638.35 | \$ 34,638.40 |
| 14. NonFederal                     |                    |              |              |              |              |
| 15. TOTAL (sum of lines 13 and 14) | 138,553.50         | 34,638.35    | 34,638.40    | 34,638.35    | 34,638.40    |

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

| (a) Grant Program              | FUTURE FUNDING PERIODS (Years) |               |           |            |
|--------------------------------|--------------------------------|---------------|-----------|------------|
|                                | (b) First                      | (c) Second    | (d) Third | (e) Fourth |
| 16. Coordination/Planning      | \$ 138,553.50                  | \$ 138,553.50 | \$        | \$         |
| 17.                            |                                |               |           |            |
| 18.                            |                                |               |           |            |
| 19.                            |                                |               |           |            |
| 20. TOTAL (sum of lines 16-19) | \$ 138,553.50                  | \$ 138,553.50 | \$        | \$         |

**SECTION F - OTHER BUDGET INFORMATION**

|   |                                    |
|---|------------------------------------|
| 21. Direct Charges:<br>222,830.40                                   | 22. Indirect Charges:<br>54,276.16 |
| 23. Remarks:<br>This budget reflects total project funded federally |                                    |

## INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

### General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

#### Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not* requiring a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in Column (a) and the respective catalog number on each line in Column (b).

For applications pertaining to a *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

#### Lines 1-4, Columns (c) through (g)

For new applications, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For continuing grant program applications, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in Columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For supplemental grants and changes to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5—Show the totals for all columns used.

#### Section B. Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i—Show the totals of Lines 6a and 6h in each column.

Line 6j—Show the amount of indirect cost.

Line 6k—Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7—Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program.

**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

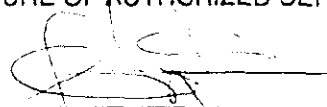
**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.



4. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
5. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
1. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

|   |  |
|---|--|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL<br> | TITLE<br>BUTTE COUNTY BOARD OF SUPERVISORS<br>by JANE DOLAN, CHAIR |
| APPLICANT ORGANIZATION<br><br>COUNTY OF BUTTE   | DATE SUBMITTED<br><br>4/14/99                                      |

U.S. Department of the Interior

**Certifications Regarding Debarment, Suspension and  
Other Responsibility Matters, Drug-Free Workplace  
Requirements and Lobbying**

Persons signing this form should refer to the regulations referenced below for complete instructions:

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions - The prospective primary participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions. See below for language to be used; use this form for certification and sign; or use Department of the Interior Form 1954 (DI-1954). (See Appendix A of Subpart D of 43 CFR Part 12.)

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions - (See Appendix B of Subpart D of 43 CFR Part 12.)

Certification Regarding Drug-Free Workplace Requirements - Alternate I. (Grantees Other Than Individuals) and Alternate II. (Grantees Who are Individuals) - (See Appendix C of Subpart D of 43 CFR Part 12)

Signature on this form provides for compliance with certification requirements under 43 CFR Parts 12 and 18. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of the Interior determines to award the covered transaction, grant, cooperative agreement or loan.

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**PART A: Certification Regarding Debarment, Suspension, and Other Responsibility Matters -  
Primary Covered Transactions**

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*CHECK    IF THIS CERTIFICATION IS FOR A PRIMARY COVERED TRANSACTION AND IS APPLICABLE.*

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**PART B: Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -  
Lower Tier Covered Transactions**

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*CHECK    IF THIS CERTIFICATION IS FOR A LOWER TIER COVERED TRANSACTION AND IS APPLICABLE.*

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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**PART C: Certification Regarding Drug-Free Workplace Requirements**

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CHECK\_\_ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS NOT AN INDIVIDUAL.

**Alternate I. (Grantees Other Than Individuals)**

A. The grantee certifies that it will or continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about--
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will --
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification numbers(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a) (b), (c), (d), (e) and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

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Check\_\_ if there are workplaces on file that are not identified here.

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**PART D: Certification Regarding Drug-Free Workplace Requirements**

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CHECK\_\_ IF THIS CERTIFICATION IS FOR AN APPLICANT WHO IS AN INDIVIDUAL.

**Alternate II. (Grantees Who Are Individuals)**

- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to the grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant

**NONDISCRIMINATION COMPLIANCE STATEMENT**

STD. 19 (REV. 3-95) FMC

COMPANY NAME

County of Butte

The company named above (hereinafter referred to as "prospective contractor") hereby certifies, unless specifically exempted, compliance with Government Code Section 12990 (a-f) and California Code of Regulations, Title 2, Division 4, Chapter 5 in matters relating to reporting requirements and the development, implementation and maintenance of a Nondiscrimination Program. Prospective contractor agrees not to unlawfully discriminate, harass or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, disability (including HIV and AIDS), medical condition (cancer), age, marital status, denial of family and medical care leave and denial of pregnancy disability leave.

**CERTIFICATION**

*I, the official named below, hereby swear that I am duly authorized to legally bind the prospective contractor to the above described certification. I am fully aware that this certification, executed on the date and in the county below, is made under penalty of perjury under the laws of the State of California.*

OFFICIAL'S NAME

Jane Dolan, Chair, Butte County Board of Supervisors

DATE EXECUTED

4/14/99

EXECUTED IN THE COUNTY OF

Butte

PROSPECTIVE CONTRACTOR'S SIGNATURE

PROSPECTIVE CONTRACTOR'S TITLE

PROSPECTIVE CONTRACTOR'S LEGAL BUSINESS NAME

**PAYMENT BOND TO ACCOMPANY CONSTRUCTION CONTRACT**  
(CIVIL CODE SECTION 3247)

BOND NO. \_\_\_\_\_

The premium on this bond is \_\_\_\_\_ for the term \_\_\_\_\_

**Know All Men By These Presents:**

That The State of California, acting by and through the \_\_\_\_\_

has awarded to \_\_\_\_\_ whose address is \_\_\_\_\_  
(CONTRACTOR / PRINCIPLE)

\_\_\_\_\_ as Principle, a contract for the work described as follows:

WHEREAS, The provisions of Civil Code Section 3247 require that the Principle file a bond in connection with said contract and this bond is executed and tendered in accordance therewith.

NOW THEREFORE, Principle and \_\_\_\_\_, a corporation organized under the laws of \_\_\_\_\_ (SURETY), and authorized to transact a general surety business in the State of California, as Surety, are held and firmly bound to the People of the State of California in the penal sum of \_\_\_\_\_ ( \_\_\_\_\_ ), for which payment we bind ourselves, our heirs, executors, administrators, successors and assigns jointly and severally, firmly by these presents.

**THE CONDITION OF THIS OBLIGATION IS SUCH,**

1. That if said Principle or its subcontractors shall fail to pay any of the persons named in Civil Code Section 3181, or amounts due under the Unemployment Insurance Code with respect to work or labor performed under the contract, or for any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of the Principle and subcontractors pursuant to Section 13020 of the Unemployment Insurance Code, with respect to such work and labor, that the Surety herein will pay for the same, otherwise this obligation is to be void. In case suit is brought upon this bond, the Surety will pay a reasonable attorney's fee to be fixed by the court.

2. This bond shall insure to the benefit of any persons named in Civil Code Section 3181 as to give a right of action to such persons or their assigns in any suit brought upon this bond.

3. The aggregate liability of the Surety hereunder, including costs and attorney fees, on all claims whatsoever shall not exceed the penal sum of the bond in accordance with the provisions of Section 996.470(a) of the Code of Civil Procedure.

4. This bond is executed by the Surety, to comply with the provisions of Chapter 7, Title 15, Part 4, Division 3 of the Civil Code and of Chapter 2, Title 14, Part 2 of the Code of Civil Procedure and said bond shall be subject to all of the terms and provisions thereof.

5. This bond may be cancelled by the Surety in accordance with the provisions of Section 996.310 et seq. of the Code of Civil Procedure.

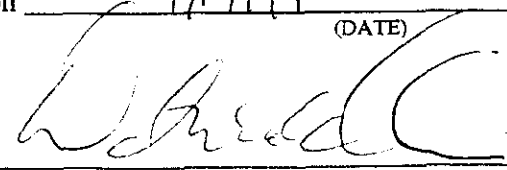
6. This bond to become effective \_\_\_\_\_

\_\_\_\_\_  
(NAME OF SURETY)

\_\_\_\_\_  
(ADDRESS)

I certify (or declare) under penalty of perjury that I have executed the foregoing bond under an unrevoked power of attorney.  
Executed in Oroville, CA on 4/14/99  
(CITY AND STATE) (DATE)

under the laws of the State of California.

►   
(SIGNATURE OF ATTORNEY IN FACT)

Dave McClain, Chief Deputy County Counsel  
(PRINTED OR TYPED NAME OF ATTORNEY IN FACT)